



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Erik R. Swenson, et al.

Application No.: 10/658,216

Filed: September 8, 2003

For: **SYSTEM FOR AND METHOD OF
COMMUNICATING CONTROL
INFORMATION BETWEEN ENTITIES
INTERCONNECTED BY BACKPLANE
CONNECTION**

Art Unit: 2836

Examiner: Unassigned

**TRANSMITTAL OF INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §1.56, 1.97 and 1.98**

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and
Trademark Office:

- ☒ Information Disclosure Statement under 37 C.F.R. §1.56, 1.97 and 1.98;
- ☒ Form PTO-1449 with 3 references; and
- ☒ Return postcard.

**CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

July 8, 2004
Date of Deposit

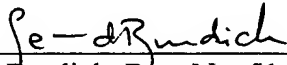
Robin L. Clow
Name of Person Mailing Paper

B. Clow
Signature of Person Mailing Paper

Applicant believes no fees are due in connection with this transmittal. However, if any fees are in fact due, the Commissioner is hereby authorized to be charged to our Deposit Account No. **08-3038** referencing Docket No. 02453.0022.NPUS00.

Respectfully submitted,

Dated: July 7, 2004.



Sean D. Burdick, Reg. No. 51,513

HOWREY SIMON ARNOLD & WHITE, LLP
Box No. 34
301 Ravenswood Avenue
Menlo Park, CA 94025
Facsimile: (650) 463-8400
Telephone: (949) 759-5269



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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 and 1.98**

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants hereby submit, without admission of prior art effect thereof, the documents listed on the accompanying Form PTO-1449 pursuant to the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

**CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)**

I hereby certify that this paper (along with any referred to as being attached hereto) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Robin L. Clow
Name of Person Mailing Paper

R. Clow
Signature of Person Mailing Paper

Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

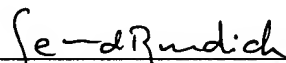
This Information Disclosure Statement is being filed within three months of the U.S. filing date or before the mailing date of a first Office Action on the merits, therefore no statement under 37 C.F.R. § 1.97(e) or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038, referencing matter number 02453.0022.NPUS00.

Respectfully submitted,

Date: July 7, 2004


Sean D. Burdick
Registration No. 51,513

HOWREY SIMON ARNOLD & WHITE, LLP
Box No. 34
301 Ravenswood Avenue
Menlo Park, California 94025
Telephone No. (949) 759-5219
Fax No. (650) 463-8400

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENTAPPLICANT:
Erik R. Swenson et al.FILING DATE:
September 8, 2003GROUP:
2836

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES NO
	None					X
						X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AA	U.C. Davis, "VLAN Information," October 29, 1998, pp. 1-13, available at http://net21.ucdavis.edu/newvlan.htm .
AB	IEEE Std. 802.1D-1990, "IEEE Standards for Local and Metropolitan Area Networks: Media Access Control (MAC) Bridges," March 8, 1991 (174 pp.).
AC	IEEE Std 802.3ae-2002, "IEEE Standard for Information Technology – Telecommunications and Information Exchange Between Systems – Local and Metropolitan Area Networks – Specific Requirements," August 30, 2002 (529 pp.).

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant